



**Health Tech  
Access Alliance**

# **IT Pre-Audit Services HIPAA, HITRUST**

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# **IT Frameworks**

**A variety of laws and regulations have been implemented over the past 20 years that strengthen the security and integrity of information stored within organizations. As a result of the laws and regulations, various Information Technology control "standards" and "frameworks" have evolved and become popular means to meet the requirements of the laws. The standards and control frameworks have become valuable tools to ensure that Information Technology governance is planned, organized, implemented, tested, and monitored.**

**HIPAA HITECH is a requirement that must be met. Key requirements include performing regular security risk assessments, implementing critical policies, procedures and plans to ensure a controlled environment, and performing regular security and privacy training. HHS, CMS and HRSA all now include a review of key IT controls as part of their audit process. Once an organization has met all the HIPAA and State IT regulatory requirements it may decide to pursue a more stringent framework like HITRUST or COBIT.**

**Control frameworks and standards provide the roadmap to build a successful Information Technology governance program.**

**There should be independence between those developing and performing control activities ,and those monitoring and auditing them. Ongoing monitoring through audits, accompanied by corrective actions and tracking, ensures controls are working and reduces the risk of a financial, operational or technological disruption that can impact your business operations in a significant way.**

# **Pre-Audit Services**

**Our process takes the mystery and complexity out of security, privacy, compliance and auditing. We use our QI Express approach to provide a rapid and affordable step-by-step pre-audit solution designed for small and medium size healthcare organizations that is easy to use and understand. Our security and compliance QI Express solution has assisted community health centers and providers supporting underserved communities across the country to become secure and compliant. Whether you are preparing for an audit, or aiming to achieve HITRUST or EHNAC certification, we can help.**

**Our pre-audit and assessment process focuses on identifying real risk and what your organization needs to do to mitigate gaps and secure your organization. We don't just assess, we also provide you with implementable recommendations and the required assistance to quickly and completely remediate identified gaps and control weaknesses. This means no surprises, clean audits and a secure organization. Better yet there is no disruption to your organization.**

# Pre-Audit Process

## Attaining HIPAA Security/Privacy Compliance and EHNAC / HITRUST Certification Readiness

**01**

**VALIDATE THAT KEY IT SECURITY, PRIVACY AND IT OPERATIONAL POLICIES, PROCESSES AND CONTROLS HAVE BEEN DEVELOPED AND APPROVED**

- Administrative
- Technical
- Operational

**02**

**MONITORING AND TESTING**

- Test that key IT security, privacy and IT controls are implemented, are being followed and are monitored

**03**

**DETERMINE THAT CRITICAL IT GOVERNANCE POLICIES AND PROCESSES ARE IN PLACE INCLUDING**

- IT Strategy and Roadmap
- Project Selection and Oversight
- IT Infrastructure Availability and Utilization
- Customer Support
- Skilled resources to support IT mission and operations

**04**

**DELIVERABLES**

- Scope of the Project
- IT security and operational control matrix of current environment
- Critical control and process gaps requiring mediation
- Recommendations to mitigate identified gaps and weaknesses
- High level remediation plan